



# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

## Form 2007-4

\*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract:
Date when this agreement was reached: 9/17/04	Expiration Date or Date when the RTCs are to be delivered : 12/31/2004

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
6/30/2006	Inland	31,998	\$4	Rule No. :XX
6/30/2007	Inland	31,998	\$4	Rule No. :XX
6/30/2008	Inland	31,998	\$4	Rule No. :XX
6/30/2009	Inland	31,998	\$4	Rule No. :XX
6/30/2010	Inland	31,998	\$4	Rule No. :XX
6/30/2011	Inland	31,998	\$4	Rule No. :XX
All Years After 2011	Inland	31,998	\$4	Rule No. :XX
/				Rule No. :
/				Rule No. :
/				Rule No. :
/				Rule No. :

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: John Haggis Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald Brokerage Date and Place: 9/23/04 San Francisco CA

Submit this form to  
SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

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Buyer Name† California Portland Cement Company Account ID# 800181

Seller Name† Multifuels LP Account ID# 700073

If Intermediary:

Intermediary Name Account ID#

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input checked="" type="checkbox"/> Forward Contract	Premium paid for this contract: \$0
Date when this agreement was reached: 8/4/04	Expiration Date or Date when the RTCs are to be delivered : September 3, 2004 initiate delivery

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx ☒ or SOx (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
1	12/31/04	Single Year Trade	Coastal, Inland, or mixture of both	60,000	\$.90/lb	Rule No. : Reg XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

\* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.

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Reported By: Samantha Unger (Print name) Signature

Company Name & Title: Evolution Markets LLC, Director of California Environmental Date and Place: 8/4/04, New York

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Form 2007-4  
Rev. 12-03

Postmark 8/5/04  
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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

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Buyer Name<sup>†</sup> \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name<sup>†</sup> \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00/RTC
Date when this agreement was reached: 06/01/04	Expiration Date or Date when the RTCs are to be delivered : July 15, 2004

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
1	12/31/2005	Single Year Transaction	Coastal	18,500	\$3.05	Rule No. : XX
						Rule No. : XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

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Reported By: John Fitzgerald Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald Date and Place: 6/8/04 San Francisco CA  
Managing Director

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

## Form 2007-4

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Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00
Date when this agreement was reached: 05/19/04	Expiration Date or Date when the RTCs are to be delivered : July 1, 2004

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
1	12/31/2004	Single Year Transaction	Coastal	24,972	\$1.25	Rule No. : XX
						Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

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Reported By: [Signature] Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Marque Direct Date and Place: 5/20/04 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional Clean Air Incentives Market Trading Credits (RTCs)  
Report of Contingent Right to Purchase RTCs and Forward Contract

## Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_  
Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_  
If Intermediary:  
Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$1.629/RTC
Date when this agreement was reached: 04/20/04	Expiration Date or Date when the RTCs are to be delivered : June 21, 2004

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
1	12/31/2011	All Years After	Coastal	9,196	\$6.44	Rule No. : XX
						Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

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Reported By: Josh Margolis Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald, Managing Director Date and Place: San Francisco, CA 4/21/04

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

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Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.125/RTC
Date when this agreement was reached: 03/05/04	Expiration Date or Date when the RTCs are to be delivered : March 4, 2005 and March 4, 2006

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
2	06/30/2005	Single Year Transaction	Coastal	34,000	\$5.00	Rule No. : XX
2	06/30/2006	Single Year Transaction	Coastal	45,000	\$5.00	Rule No. : XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

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Reported By: Robin Lanadon Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald, VP Date and Place: 3/11/04

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Buyer Name<sup>†</sup> \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name<sup>†</sup> \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Natsource LLC \_\_\_\_\_ Account ID# 700066 \_\_\_\_\_

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: 30,000 RTCs
Date when this agreement was reached: 1/27/04	Expiration Date or Date when the RTCs are to be delivered : 12/15/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   x   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
	12/31/07	Single Year Trade	Either	150,000		Rule No. :XX
	/ /	/ /				Rule No. :
	OR					
	/ /	/ /				Rule No. :
	6/30/08	Single Year Trade	Either	150,000		Rule No. :XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

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Reported By: David Oppenheimer \_\_\_\_\_ Signature: \_\_\_\_\_

(Print name)

Company Name & Title: Natsource LLC \_\_\_\_\_ Date and Place: 2/2/04 New York, NY \_\_\_\_\_

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Buyer Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name: \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Boldwater LP \_\_\_\_\_ Account ID# 700071 \_\_\_\_\_

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input checked="" type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00
Date when this agreement was reached: February 12, 2004	Expiration Date or Date when the RTCs are to be delivered: April 30, 2004

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx ☒ or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
1	12/31/2011	All years after	Coastal	1000	\$6.00	Rule No. :Reg XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

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Reported By: J. Jay Burack

(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Boldwater LP, Emissions Broker

Date and Place: 02/17/2004 Houston, Texas

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Postmark 2/17/04

Submit this form to

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

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Buyer Name† \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name† \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Cantor Fitzgerald Account ID# 700004

Type of contract: Contingent Right to: <input checked="" type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00
Date when this agreement was reached: 1/23/04	Expiration Date or Date when the RTCs are to be delivered : 1/13/2005

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
Cycle	From Compliance Year(*)	To Compliance Year(*)				
1	12/31/2011	All Years After	Coastal	36,781	\$5.437489	Rule No. : XX
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :
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	/ /	/ /				Rule No. :
	/ /	/ /				Rule No. :

\* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: Robin Langdon Signature: \_\_\_\_\_  
(Print name)

Company Name & Title: Cantor Fitzgerald Date and Place: 1/27/04 San Francisco, CA

SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830





# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

## Form 2007-4

\*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

<b>Type of contract:</b> Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract <input type="checkbox"/> Lease Agreement	<b>Premium paid for this contract:</b> \$60, 000
<b>Date when this agreement was reached:</b> 11/06/03	<b>Expiration Date or Date when the RTCs are to be delivered :</b> 5/6/04

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2005	Coastal	2,608	\$3.00	Rule No.:XX
12/2006	Coastal	2,611	\$3.00	Rule No.:XX
12/2007	Coastal	2,611	\$3.00	Rule No.:XX
12/2008	Coastal	2,611	\$3.00	Rule No.:XX
12/2009	Coastal	2,611	\$3.00	Rule No.:XX
12/2010	Coastal	2,611	\$3.00	Rule No.:XX
12/ all years after 2010	Coastal	47,087	\$6.4377	Rule No.:XX

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: Josh Margolis  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Managing Director Date and Place San Francisco, CA 11/11/03

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract <input type="checkbox"/> Lease Agreement	Premium paid for this contract: None
Date when this agreement was reached: 11/06/03	Expiration Date or Date when the RTCs are to be delivered : 5/6/04

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
6/2004	Coastal	229	\$3.00	Rule No.: XX
6/2005	Coastal	616	\$3.00	Rule No.: XX
6/2006	Coastal	619	\$3.00	Rule No.: XX
6/2007	Coastal	616	\$3.00	Rule No.: XX
6/2008	Coastal	616	\$3.00	Rule No.: XX
6/2009	Coastal	616	\$3.00	Rule No.: XX
6/2010	Coastal	616	\$3.00	Rule No.: XX
6/2011	Coastal	616	\$3.00	Rule No.: XX
6/all years after 2011	Coastal	14,174	\$6.4377	Rule No.: XX

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Reported By: Robin Langdon

(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place\_\_

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract <input type="checkbox"/> Lease Agreement	Premium paid for this contract: None
Date when this agreement was reached: 10/13/03	Expiration Date or Date when the RTCs are to be delivered : 10/24/03

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2003	Coastal	3, 500	\$1.30	Rule No.: XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 10/15/03 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract <input checked="" type="checkbox"/> X Lease Agreement	Premium paid for this contract:
Date when this agreement was reached: 9/5/03	Expiration Date or Date when the RTCs are to be delivered : 9/5/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	25, 000	\$0.00	Rule No.: XX
12/2005	Coastal	25, 000	\$0.00	Rule No.: XX
12/2006	Coastal	25, 000	\$0.00	Rule No.: XX
12/2007	Coastal	25, 000	\$0.00	Rule No.: XX
12/2008	Coastal	25, 000	\$0.00	Rule No.: XX
12/2009	Coastal	25, 000	\$0.00	Rule No.: XX
12/2010	Coastal	25, 000	\$0.00	Rule No.: XX
12/All years after 2010	Coastal	25, 000	\$0.00	Rule No.: XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 9/11/03 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract <input checked="" type="checkbox"/> X Lease Agreement	Premium paid for this contract:
Date when this agreement was reached: 9/5/03	Expiration Date or Date when the RTCs are to be delivered : 9/5/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	25, 000	\$0.00	Rule No.: XX
12/2005	Coastal	25, 000	\$0.00	Rule No.: XX
12/2006	Coastal	25, 000	\$0.00	Rule No.: XX
12/2007	Coastal	25, 000	\$0.00	Rule No.: XX
12/2008	Coastal	25, 000	\$0.00	Rule No.: XX
12/2009	Coastal	25, 000	\$0.00	Rule No.: XX
12/2010	Coastal	25, 000	\$0.00	Rule No.: XX
12/All years after 2010	Coastal	25, 000	\$0.00	Rule No.: XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 9/11/03 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.225/RTC
Date when this agreement was reached: 9/5/03	Expiration Date or Date when the RTCs are to be delivered : 9/5/05

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	25, 000	\$3.40	Rule No.: XX
12/2005	Coastal	25, 000	\$3.40	Rule No.: XX
12/2006	Coastal	25, 000	\$3.40	Rule No.: XX
12/2007	Coastal	25, 000	\$3.40	Rule No.: XX
12/2008	Coastal	25, 000	\$3.40	Rule No.: XX
12/2009	Coastal	25, 000	\$3.40	Rule No.: XX
12/2010	Coastal	25, 000	\$3.40	Rule No.: XX
12/All years after 2010	Coastal	25, 000	\$3.40	Rule No.: XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 9/9/03 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.225/RTC
Date when this agreement was reached: 9/5/03	Expiration Date or Date when the RTCs are to be delivered : 9/5/04

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	25, 000	\$3.40	Rule No.: XX
12/2005	Coastal	25, 000	\$3.40	Rule No.: XX
12/2006	Coastal	25, 000	\$3.40	Rule No.: XX
12/2007	Coastal	25, 000	\$3.40	Rule No.: XX
12/2008	Coastal	25, 000	\$3.40	Rule No.: XX
12/2009	Coastal	25, 000	\$3.40	Rule No.: XX
12/2010	Coastal	25, 000	\$3.40	Rule No.: XX
12/All years after 2010	Coastal	25, 000	\$3.40	Rule No.: XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 9/9/03 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Natsource LLC Account ID# 700066

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$.75/lb
Date when this agreement was reached: 6/03/03	Expiration Date or Date when the RTCs are to be delivered : 2/23/04, 2/23/05, 2/23/06

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/03 or 6/04	Coastal	80,319	\$15.00/lb	Rule No.: XX
12/04 or 6/05	Coastal	84,636	\$15.00/lb	Rule No.: XX
12/05 or 6/06	Coastal	84,636	\$15.00/lb	Rule No.: XX
				Rule No.: XX
				Rule No.: XX
				Rule No.: XX
				Rule No.: XX

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: David Oppenheimer  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Natsource LLC, Principal Date and Place June 9, 2003, New York, NY

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.05/RTC
Date when this agreement was reached: 6/04/03	Expiration Date or Date when the RTCs are to be delivered : 6/04/04

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2005	Coastal	25, 000	\$5.00	Rule No.: XX
12/2006	Coastal	25, 000	\$5.00	Rule No.: XX
12/2007	Coastal	25, 000	\$5.00	Rule No.: XX
12/2008	Coastal	25, 000	\$5.00	Rule No.: XX
12/2009	Coastal	25, 000	\$5.00	Rule No.: XX
12/2010	Coastal	25, 000	\$5.00	Rule No.: XX
12/ All years after 2010	Coastal	25,000	\$5.00	Rule No.: XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 6/4/03

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.235/RTC
Date when this agreement was reached: 5/14/03	Expiration Date or Date when the RTCs are to be delivered : 5/14/04

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2005	Coastal	25,000	\$3.40	Rule No.: XX
12/2006	Coastal	25,000	\$3.40	Rule No.: XX
12/2007	Coastal	25,000	\$3.40	Rule No.: XX
12/2008	Coastal	25,000	\$3.40	Rule No.: XX
12/2009	Coastal	25,000	\$3.40	Rule No.: XX
12/2010	Coastal	25,000	\$3.40	Rule No.: XX
12/ All years after 2010	Coastal	25,000	\$3.40	Rule No.: XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 5/15/03 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

## Form 2007-4

\*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract:
Date when this agreement was reached: 2/10/03	Expiration Date or Date when the RTCs are to be delivered : 5/31/03

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2006	Coastal	30,000	\$3.00	Rule No.: XX
12/2007	Coastal	30,000	\$3.00	Rule No.: XX
12/2008	Coastal	30,000	\$3.00	Rule No.: XX
12/2009	Coastal	30,000	\$3.00	Rule No.: XX
12/2010	Coastal	30,000	\$3.00	Rule No.: XX
12/All years after 2010	Coastal	30,000	\$3.00	Rule No.: XX

I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 2/13/03 San Francisco, CA

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* Fontana Paper Mills, Inc. Account ID# 11716

Seller Name\* DJJW Holding Corporation Account ID# 700059

If Intermediary:

Intermediary Name \_\_\_\_\_ Account ID# \_\_\_\_\_

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> X Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$ 0.00
Date when this agreement was reached: 02/10/2003	Expiration Date or Date when the RTCs are to be delivered : 02/22/2003

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
6/2003	Inland	18,200	1.25	Rule No.XX

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Reported By: Daren E. Jorgensen for  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: DJJW Holding Corporation, President Date and Place 2/12/03

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> X Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$ 0.00
Date when this agreement was reached: 2/10/03	Expiration Date or Date when the RTCs are to be delivered : 2/11/03

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx   X   or SOx        (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
6/2003	Inland	20,000	\$1.25	Rule No.: Reg XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place San Francisco, CA 2/11/03

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Natsource LLC Account ID# 700066

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input checked="" type="checkbox"/> Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$ 0
Date when this agreement was reached: 1/16/03	Expiration Date or Date when the RTCs are to be delivered : February 26 <sup>th</sup> , 2003

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx \_\_\_\_\_ (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/02	Either	Up to 300,000	\$1.00/lb	Rule No.: Reg XX
6/03	Inland	Up to 181,818	\$1.65/lb	Rule No.: Reg XX
**Fixed ratio of 1.65 cycle 1 RTC per each cycle 2 NOx RTC**				

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Reported By: David Oppenheimer  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Natsource LLC, Principal Date and Place New York, NY 1-22-03

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name Natsource LLC Account ID# 700066

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input checked="" type="checkbox"/> X Swap <input type="checkbox"/> Forward Contract	Premium paid for this contract: \$.25/lb
Date when this agreement was reached: 1/10/03	Expiration Date or Date when the RTCs are to be delivered : February 26 <sup>th</sup> , 2003

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx \_\_\_\_ or SOx X (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/02	Either	Up to 25,000	4.00	Rule No.: Reg XX
6/03	Coastal	Up to 25,000	4.00	Rule No.: Reg XX
**Fixed ratio of 1 cycle 1 RTC per 1 cycle 2 SOx RTC**				

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Reported By: David Oppenheimer  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Natsource LLC, Principal

Date and Place New York, NY 1-14-03

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# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

Type of contract: Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input checked="" type="checkbox"/> Forward Contract	Premium paid for this contract: \$0.00
Date when this agreement was reached: 12/19/02	Expiration Date or Date when the RTCs are to be delivered : 1/15/03

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx    (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	7,102	\$2.75	Rule No.: Reg XX
12/2005	Coastal	7,102	\$2.75	Rule No.: Reg XX
12/2006	Coastal	7,102	\$2.75	Rule No.: Reg XX
12/2007	Coastal	7,102	\$2.75	Rule No.: Reg XX
12/2008	Coastal	7,102	\$2.75	Rule No.: Reg XX
12/2009	Coastal	7,102	\$2.75	Rule No.: Reg XX
12/2010	Coastal	7,102	\$2.75	Rule No.: Reg XX
12/All Years After 2010	Coastal	7,102	\$2.75	Rule No.: Reg XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place San Francisco, CA 12/23/02

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Buyer Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

Seller Name\* \_\_\_\_\_ Account ID# \_\_\_\_\_

If Intermediary:

Intermediary Name CANTOR FITZGERALD Account ID# 700004

<b>Type of contract:</b> Contingent Right to: <input type="checkbox"/> Purchase <input type="checkbox"/> Sell <input type="checkbox"/> Swap <input checked="" type="checkbox"/> Forward Contract	<b>Premium paid for this contract:</b> \$0.00
<b>Date when this agreement was reached:</b> 12/13/02	<b>Expiration Date or Date when the RTCs are to be delivered :</b> 1/15/03

→ Attach forward contract or agreement for contingent right to purchase

Pollutant: NOx X or SOx    (Identify one pollutant only)

(Attach a separate form if more spaces are needed)

RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	93	\$3.25	Rule No.: Reg XX
12/2005	Coastal	93	\$3.25	Rule No.: Reg XX
12/2006	Coastal	93	\$3.25	Rule No.: Reg XX
12/2007	Coastal	93	\$3.25	Rule No.: Reg XX
12/2008	Coastal	93	\$3.25	Rule No.: Reg XX
12/2009	Coastal	93	\$3.25	Rule No.: Reg XX
12/2010	Coastal	93	\$3.25	Rule No.: Reg XX
12/All Years After 2010	Coastal	93	\$3.25	Rule No.: Reg XX

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Reported By: Robin Langdon  
(Print name)

Signature: \_\_\_\_\_

Company Name & Title: Cantor Fitzgerald, Vice President Date and Place San Francisco, CA 12/18/02

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